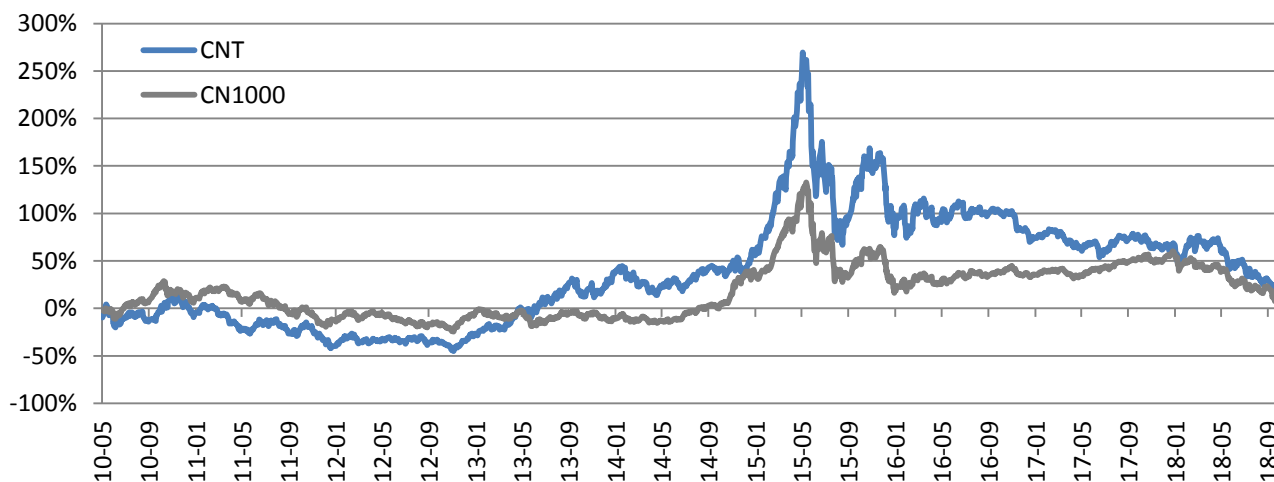


Description

As a unique and essential segment in China's multi-tier capital market structure, the ChiNext Market is conduct to foster those innovative businesses and emerging industries, has exhibited distinctive features as compared with those stocks listed on Shanghai Stock Exchange. As the benchmark and flagship index of the ChiNext Market, the ChiNext Index (CNT) is free-float capitalization-weighted and comprises the 100 largest and most liquid A-share stocks listed and trading on the ChiNext Market of the Shenzhen Stock Exchange.

Historical Performance (May 2010 – Oct 2018)



CN1000 (CNI 1000 Index) measures the overall performance of A-share market.

Return and Volatility (daily return) (Oct 31, 2018)

| Index | Accumulative Return | | | | Annualized Return | | Annualized Volatility | | Sharpe Ratio | |
|--------|---------------------|---------|---------|---------|-------------------|-------|-----------------------|--------|--------------|-------|
| | 1M | 3M | 1Y | YTD | 3Y | 5Y | 3Y | 5Y | 3Y | 5Y |
| CNT | -9.62% | -18.30% | -31.78% | -27.22% | -19.86% | 0.64% | 27.72% | 32.94% | -4.52% | 0.97% |
| CN1000 | -9.07% | -12.97% | -26.33% | -25.19% | -9.11% | 4.08% | 20.37% | 24.85% | -2.71% | 1.57% |

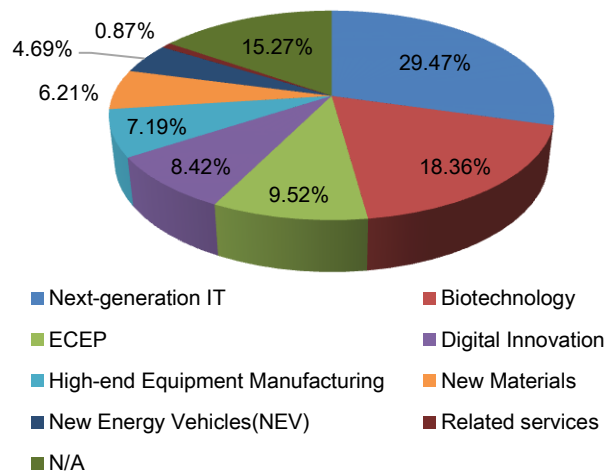
Annual Performances

| Index | 2017 | 2016 | 2015 | 2014 | 2013 | 2012 |
|--------|---------|---------|--------|--------|--------|--------|
| CNT | -10.67% | -27.71% | 84.41% | 12.83% | 82.73% | -2.14% |
| CN1000 | 11.36% | -15.46% | 18.41% | 47.25% | 1.41% | 7.40% |

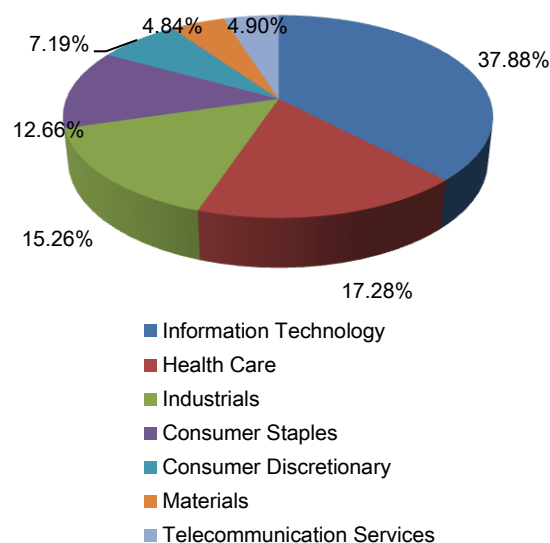
Index Characteristics (Oct 31, 2018)

| Weight (%) | | Free-float market Cap (CNY Billion) | | | | | Fundamentals | | |
|------------|----------|-------------------------------------|---------|----------|------|--------|--------------|-----------|----------------------------|
| Largest | Smallest | Total | Largest | Smallest | Mean | Median | PE(TTM) | ROE (TTM) | Growth of Net Income (LYR) |
| 10.78% | 0.13% | 792.16 | 85.38 | 1.01 | 7.92 | 5.19 | 27.16 | 11.60% | 26.53% |

Constituents from China Strategic Emerging Industries (Oct 31, 2018)



Sector Breakdown (Oct 31, 2018)



Top 10 Constituents (Oct 31, 2018)

| Ticker | Constituent | Wgt(%) | Sector |
|--------|----------------------|--------|----------------------------|
| 300498 | Wens Foodstuff | 10.78 | Consumer Staples |
| 300059 | East Money | 5.54 | Information Technology |
| 300003 | Lepu Medical | 3.00 | Health Care |
| 300015 | Aier | 2.57 | Health Care |
| 300124 | Inovance Technology | 2.52 | Industrials |
| 300136 | Sunway Communication | 2.39 | Telecommunication Services |
| 300408 | Three-Circle (Group) | 2.30 | Information Technology |
| 300142 | Walvax Biotechnology | 2.18 | Health Care |
| 300750 | Contemporary Amperex | 2.04 | Industrials |
| 300024 | Robot | 2.01 | Industrials |
| Totals | | 35.34 | |

Quick Facts

| | |
|-----------------------|--|
| Constituent Number | 100 |
| Weighting | Actual free float market capitalization |
| Base Value | 1000 |
| Base Date | May 31, 2010 |
| Review Frequency | Quarterly on the first trading day of January, April, July and October, announced on the first trading day of the second integral trading week of December, February, June and November. |
| Calculation Frequency | Every 3 seconds during Shenzhen Stock Exchange trading hours. |
| Launch Date | June 1, 2010 |

Symbols

| Index | SZSE | Bloomberg | Reuters |
|-------------------------------------|--------|-----------|------------|
| Shenzhen ChiNext Price Index | 399006 | SZ399006 | .CHINEXTP |
| Shenzhen ChiNext Total Return Index | 399606 | SZ399606 | .CHINEXTTR |

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