

Revising Index Methodology

In order to enhance the index investability, Shenzhen Securities Information Co., Ltd. decides to revise existing index methodology. The details are as follows:

CNI HK Connect New Energy Index: (1) Modify the index universe to company relates to new energy and new energy vehicle fields (excluding Utilities industry), listed on Hong Kong Exchange eligible for the Stock Connect; (2) Revise the selection method to “Remove stocks in the index universe with average monthly trading velocity less than 0.1% within the latest 12 months, rank remaining stocks by daily average total market cap within the latest 12 months in descending order and select top-ranked stocks in the new energy field, then select remaining stocks in the other fields in sequence, until the number of constituents reaches 50.”; (3) Adjust the weighting method to “Set the weight of individual constituent in the new energy field no more than 15%, the weight of individual constituent in the new energy vehicle field no more than 2%, the total weight of constituents in the new energy vehicle field no more than 10%, and the total weight of top 5 constituents no more than 60% in each periodic review.”.

The revision will be implemented on January 14, 2026. For more details, please refer to CNI Index website (www.cnindex.com.cn).

Shenzhen Securities Information Co., Ltd.

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