

Revising Index Methodology

In order to enhance the index investability, Shenzhen Securities Information Co., Ltd. decides to revise existing index methodology. The details are as follows:

CNI HK Fintech Index: (1) Revise the selection method to “Remove stocks with average monthly trading velocity less than 0.1% within the latest 12 months, except for stock with its daily average trading value over the past year higher than 10 million HKD, remove stocks with daily average total market cap less than 100 million HKD within the latest 12 months or closing price less than 0.5 HKD within the latest month; Rank remaining stocks by daily average total market cap, prioritize the selection of stocks with high relevance to fintech businesses until the number reaches 50. All remaining stocks will be selected as index constituents if the number is below 50”; (2) Adjust the weighting method to “Set the weight of individual constituent with high relevance to fintech businesses no more than 15% and the weight of other individual constituent no more than 7.5% and the total weight of the top 5 constituents no more than 60% in each periodic review”.

CNI HK Connect Securities Investment Index: (1) Modify the index universe to “Company relates to securities investment-related fields such as securities brokerage, asset management, investment banking and capital markets, as well as securities market services such as financial

information services, stock exchange operations and other related fields, listed for more than 6 months on Hong Kong Exchange eligible for the Stock Connect”; (2) Revise the selection method to “Remove stocks with average monthly trading velocity less than 0.1% within the latest 12 months, except for stock with its daily average trading value over the past year higher than 10 million HKD, remove stocks with closing price less than 0.5 HKD within the latest month; Select top 50 stocks ranked by daily average total market cap within the latest 12 months from remaining stocks as index constituents, all remaining stocks will be selected as index constituents if the number is below 50”.

The revision will be implemented on March 16, 2026. For more details, please refer to CNI Index website (www.cnindex.com.cn).

Shenzhen Securities Information Co., Ltd.

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